

On Cui-Kano's Characterization Problem on Graph Factors

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Abstract

An H_n -factor of a graph G is defined to be a spanning subgraph F of G such that each vertex has degree belonging to the set $\{1, 3, 5, \dots, 2n-1, 2n\}$ in F . In this paper, we investigate H_n -factors of graphs by using Lovász's structural descriptions to the degree prescribed subgraph problem. We find some sufficient conditions for the existence of an H_n -factor of a graph. In particular, we make progress on the characterization problem for a special family of graphs proposed by Cui and Kano in 1988.

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1 Introduction

Let G be a simple graph with vertex set $V(G)$. Let $f, g: V(G) \rightarrow \mathbb{Z}$ be functions on $V(G)$. A (g, f) -factor of G is a spanning subgraph F such that

$$g(v) \leq d_F(v) \leq f(v)$$

for any vertex v , where $d_F(v)$ is the degree of v in F . In particular, if there exist integers a and b such that $g(v) = a$ and $f(v) = b$ for all vertices v , then the (g, f) -factor is called an $[a, b]$ -factor. For example, connected $[2, 2]$ -factors are nothing but Hamiltonian cycles, and $[1, 1]$ -factors are perfect matchings. There is a large amount of literature on graph factors, see Plummer [10], Liu and Yu [12], and Akiyama and Kano [1] for surveys. For connected factors, we refer the reader to Kouider and Vestergaard [6].

Let H be a function associating a subset of \mathbb{Z} with each vertex of G , called a degree prescription. It is natural to generalize (g, f) -factors to H -factors, i.e., spanning subgraphs F such that

$$d_F(v) \in H(v) \tag{1.1}$$

for all vertices v . Let F be a spanning subgraph of G . Following Lovász [9], one may measure the “deviation” of F from the condition (1.1) by

$$\delta_H(F) = \sum_{v \in V(G)} \min\{|d_F(v) - h| : h \in H(v)\}. \quad (1.2)$$

Moreover, the “solvability” of (1.1) can be characterized by

$$\delta(H) = \min\{\delta_H(F) : F \text{ is a spanning subgraph of } G\}.$$

The subgraph F is said to be H -optimal if $\delta_H(F) = \delta(H)$. It is clear that F is an H -factor if and only if $\delta_H(F) = 0$, and any H -factor (if exists) is H -optimal.

In [8], Lovász proposed the problem of determining the value of $\delta(H)$, called the degree prescribed subgraph problem. Let

$$H = \{h_1, h_2, \dots, h_n\}$$

be a set of integers, where $h_1 < h_2 < \dots < h_n$. It is said to be an allowed set if each of its gaps has at most one integer, i.e.,

$$h_{i+1} - h_i \leq 2, \quad \forall 1 \leq i \leq n-1.$$

We say that a prescription H is allowed if $H(v)$ is an allowed set for all vertices v . Lovász [9] built up a whole theory to the degree prescribed subgraph problem in case that H is an allowed prescription. He showed that the problem is NP-complete without the restriction that H is allowed. Cornuéjols [3] provided the first polynomial algorithm for the problem with H allowed.

A special case of the degree prescribed subgraph problem is the so-called f -parity subgraph problem, i.e., the problem with

$$H(v) = \{\dots, f(v) - 4, f(v) - 2, f(v)\}$$

for some function $f: V(G) \rightarrow \mathbb{Z}$. The first investigation of the f -parity subgraph problem is due to Amahashi [2], who gave a Tutte type characterization for graphs having a global odd factor. Let S be a subset of $V(G)$. Denote by $G - S$ the subgraph of G obtained by removing all vertices in S . Denote by $o(G)$ the number of odd components of G . Let $n \geq 2$ be an integer independent of the number of vertices of G . Let H_o be the prescription associating the first n positive odd integers with each vertex, i.e.,

$$H_o(v) = \{1, 3, 5, \dots, 2n-1\}.$$

Theorem 1.1 (Amahashi) *A graph G has an H_o -factor if and only if*

$$o(G - S) \leq (2n-1)|S|, \quad \forall S \subseteq V(G). \quad (1.3)$$

For general odd value functions f , Cui and Kano [4] established a Tutte type theorem. Noticing the form of the condition (1.3), they asked the question of characterizing graphs G in terms of graph factors such that

$$o(G - S) \leq 2n |S|, \quad \forall S \subseteq V(G). \quad (1.4)$$

For more studies on the f -parity subgraph problem, see Topp and Vestergaard [11], and Kano, Katona, and Szabó [5].

Motivating by solving Cui-Kano's problem, we consider the degree prescribed subgraph problem for the special prescription

$$H_n(v) = H_o(v) \cup \{2n\} = \{1, 3, 5, \dots, 2n-1, 2n\}. \quad (1.5)$$

We shall study the structure of graphs which have no H_n -factors by using Lovász's theory [9]. Consequently, we obtain that any graph satisfying the condition (1.4) contains an H_n -factor.

Besides many applications of Lovász's structural description for special families of graphs and special allowed prescriptions, there is much attention paid to finding sufficient conditions for the existence of an H -factor in a graph for special prescriptions H , see [10]. In this paper, we also give some sufficient conditions for the existence of an H_n -factor in a graph.

2 The main result

In this section, we study H_n -factors of graphs based on Lovász's structural description of the degree prescribed subgraph problem.

Let H be an allowed prescription. Denote by $I_H(v)$ the set of vertex degrees in all H -optimal subgraphs, i.e.,

$$I_H(v) = \{d_F(v) \mid F \text{ is } H\text{-optimal}\}.$$

Comparing the set $I_H(v)$ with $H(v)$, one may partition the vertex set $V(G)$ into four classes:

$$\begin{aligned} C_H &= \{v \in V(G) : I_H(v) \subseteq H(v)\}, \\ A_H &= \{v \in V(G) \setminus C_H : \min I_H(v) \geq \max H(v)\}, \\ B_H &= \{v \in V(G) \setminus C_H : \max I_H(v) \leq \min H(v)\}, \\ D_H &= V(G) \setminus A_H \setminus B_H \setminus C_H. \end{aligned}$$

It is clear that the 4-tuple (A_H, B_H, C_H, D_H) is a pairwise disjoint partition of $V(G)$. We call it the H -decomposition of G . In fact, the four subsets can be distinguished

according to the contributions of their members to the deviation (1.2). A graph G is said to be H -critical if it is connected and $D_H = V(G)$.

In [9, Theorem (2.1)], Lovász gave the following property for the subset D_H .

Lemma 2.1 (Lovász) *If $D_H \neq \emptyset$, then the intersection*

$$[\min I_H(v), \max I_H(v)] \cap H(v)$$

contains no consecutive integers for any vertex $v \in D_H$.

In [9, Corollary (2.4)], Lovász gave the following structural result.

Lemma 2.2 (Lovász) *There is no edge between C_H and D_H .*

For any subset $S \subseteq V(G)$, denote by $G[S]$ the subgraph induced by S . Denote the number of components of $G[S]$ by $c(S)$, and the number of odd components of $G[S]$ by $o(S)$. In [9, Theorem (4.3)], Lovász established the formula

$$\delta(H) = c(D_H) + \sum_{v \in B_H} \min H(v) - \sum_{v \in A_H} \max H(v) - \sum_{v \in B_H} d_{G-A_H}(v).$$

By definition, G contains no H -factors if and only if $\delta(H) > 0$. This yields the next lemma immediately.

Lemma 2.3 (Lovász) *A graph G contains no H -factors if and only if*

$$c(D_H) + \sum_{v \in B_H} \min H(v) > \sum_{v \in A_H} \max H(v) + \sum_{v \in B_H} d_{G-A_H}(v). \quad (2.1)$$

Let $X \subseteq V(G)$. For any vertex v , define

$$H_X(v) = \{h - e(v, X) : h \in H(v)\}, \quad (2.2)$$

where $e(v, X)$ is the number of edges from v to X . In [9, Theorem (4.2)], Lovász showed that each component of $G[D_H]$ is H' -critical where $H' = H_{B_H}$. He [9, Lemma (4.1)] also obtained that $\delta(H) = 1$ if G is H -critical. This leads to the next lemma.

Lemma 2.4 (Lovász) *If $D_H \neq \emptyset$, then for any component T of the subgraph $G[D_H]$, and any H -optimal subgraph F of T , we have $\delta_{H'}(F) = 1$.*

This paper concerns H_n -decompositions where H_n is defined by (1.5). For convenience, we often use another prescription H_n^* defined by

$$H_n^*(v) = H_n(v) \cup \{-1\} = \{-1, 1, 3, 5, \dots, 2n-1, 2n\}. \quad (2.3)$$

Here is the main result of this paper.

Theorem 2.5 *Let G be a graph without odd components. If G contains no H_n -factors, then there exists a nonempty subset $S \subset V(G)$ such that the subgraph $G - S$ contains at least $2n|S| + 1$ odd components, each of which contains no H_n -factors.*

Proof. By the definition (2.3), the graph G contains no H_n^* -factors. Let (A, B, C, D) be the H_n^* -decomposition of G . We shall show that the subset A can be taken as the required S .

Since $\min H_n^* = -1$, we have $B = \emptyset$, and the inequality (2.1) reduces to

$$c(D) > 2n|A|. \quad (2.4)$$

This implies $D \neq \emptyset$. Let T be a component of the subgraph $G[D]$, and F an H_n^* -optimal subgraph of T . Since $B = \emptyset$, we see that $H_B = H_n^*$. So $\delta_{H_n^*}(F) = 1$ by Lemma 2.4. Therefore, there exists a vertex, say $v_0 \in V(T)$, such that

$$\min \{ |d_F(v) - h| : h \in H_n^*(v) \} = \begin{cases} 1, & \text{if } v = v_0; \\ 0, & \text{if } v \in V(T) \setminus \{v_0\}. \end{cases} \quad (2.5)$$

On the other hand, assume that $\max I_{H_n^*}(v) \geq 2n$ for some $v \in D$. By Lemma 2.1, we have

$$\min I_{H_n^*}(v) \geq 2n.$$

It follows immediately that $v \in A$, a contradiction. Thus $\max I_{H_n^*}(v) \leq 2n - 1$, namely

$$d_F(v) \leq 2n - 1$$

for any vertex $v \in T$. Consequently, the formula (2.5) implies that the degree $d_F(v_0)$ is even, while $d_F(v)$ is odd for any $v \in V(T) \setminus \{v_0\}$. Since the sum $\sum_{v \in V(T)} d_F(v)$ is even, we deduce that T is an odd component of $G[D]$.

Assume that $A = \emptyset$. Since $B = \emptyset$, by Lemma 2.2, we see that T is an odd component of G . But G has no odd components, a contradiction. So $A \neq \emptyset$.

By Lemma 2.2 and the inequality (2.4), we have

$$2n|A| < c(D) = o(D) \leq o(C) + o(D) = o(C \cup D) = o(G - A). \quad (2.6)$$

Namely, the subgraph $G - A$ has at least $2n|A| + 1$ odd components. In view of (2.5), any component T of $G[D]$ has no H_n^* -factors. Hence T has no H_n -factors. This completes the proof. \blacksquare

For graphs satisfying Cui-Kano's condition (1.4), we obtain the following corollary immediately.

Corollary 2.6 *Any graph G satisfying the condition (1.4) contains an H_n -factor.*

Write $g = |V(G)|$. Noting that the condition “ G has no odd components” implies that g is even. Considering graphs G with g odd in contrast, we have the following result.

Theorem 2.7 *Let G be a connected graph with g odd. Suppose that*

$$o(G - S) \leq 2n|S|, \quad \forall S \subseteq V(G), \quad S \neq \emptyset. \quad (2.7)$$

Then either G contains an H_n -factor, or G is H_n^ -critical.*

Proof. Suppose that G contains no H_n -factors. Let

$$(A, B, C, D)$$

be the H_n^* -decomposition of G . From the proof of Theorem 2.5, we see that $B = \emptyset$, and obtain the inequality (2.6). Together with the condition (2.7), we see that $A = \emptyset$. Since G is connected, we find that $C = \emptyset$ by Lemma 2.2. Hence G is H_n^* -critical. This completes the proof. \blacksquare

We remark that the condition (1.4) is not necessary for the existence of an H_n -factor in a graph. Consider the graph

$$G = K_1 + (2n + 1)K_{2n+1}$$

obtained by linking a vertex K_1 to all vertices in $2n + 1$ copies of the complete graph K_{2n+1} . Denote by C_j ($1 \leq j \leq 2n + 1$) the j -th copy of K_{2n+1} . Let $v_j \in V(C_j)$. Let F be the factor consisting of the following $2n + 2$ components:

$$C_1 - v_1, \quad C_2 - v_2, \quad \dots, \quad C_{2n} - v_{2n}, \quad C_{2n+1}, \quad G[v_0, v_1, \dots, v_{2n}].$$

It is easy to verify that F is an H_n -factor. However, taking the subset S to be the single vertex v_0 , we see that the condition (1.4) does not hold for G .

To end this section, we point out that the coefficient $2n$ in the condition (1.4) is a sharp bound in the sense that for any $\epsilon > 0$, there exists a graph G with a subset $S \subseteq V(G)$ satisfying

$$o(G - S) < (2n + \epsilon)|S|, \quad (2.8)$$

and that G contains no H_n -factors. Recall that an $[a, b]$ -factor is a factor F such that $a \leq d_F(v) \leq b$ for all vertices v . We need Las Vergnas's theorem [7].

Theorem 2.8 (Las Vergnas) *A graph G contains a $[1, n]$ -factor if and only if for all subsets $S \subseteq V(G)$, the number of isolated vertices in the subgraph $G - S$ is at most $n|S|$.*

Theorem 2.9 *For any $\epsilon > 0$, there exists a graph G with a subset $S \subseteq V(G)$ satisfying the ϵ -condition (2.8) but with no H_n -factors.*

Proof. Let m be an integer such that $m > 1/\epsilon$. Let V_m be a set of m isolated vertices, and V_{2nm+1} a set of $2nm + 1$ isolated vertices. Denote by $K_{m, 2nm+1}$ the complete bipartite graph obtained by connecting each vertex in V_m with each vertex in V_{2nm+1} . Setting $S = V_m$ in Theorem 2.8, we deduce that $K_{m, 2nm+1}$ contains no $[1, 2n]$ -factors, and thus no H_n -factors. Moreover,

$$o(K_{m, 2nm+1} - V_m) = 2nm + 1 < (2n + \epsilon) |V_m|.$$

This completes the proof. ■

3 Sufficient conditions for the existence of an H_n -factor

In this section, we present some sufficient conditions for the existence of an H_n -factor. For any vertex v of G , denote by $N_G(v)$ the set of neighbors of v .

Theorem 3.1 *Let G be a graph without odd components. If for any non-adjacent vertices u and v ,*

$$|N_G(u) \cup N_G(v)| > \max \left\{ \frac{g-2}{2n} - 1, \frac{2g-4}{4n+1}, \frac{g-1}{2n+1}, 4n-3 \right\}, \quad (3.1)$$

then G contains an H_n -factor.

Proof. Suppose to the contrary that G contains no H_n -factors. By Theorem 2.5, there exists a nonempty subset $S \subset V(G)$ such that the subgraph $G - S$ has at least $2ns + 1$ odd components, say, $C_1, C_2, \dots, C_{2ns+1}$, with each C_i has no H_n -factors, where $s = |S|$. Let $c_i = |V(C_i)|$. Suppose that

$$1 \leq c_1 \leq c_2 \leq \dots \leq c_{2ns+1}. \quad (3.2)$$

It is clear that

$$2ns + 1 \leq c_1 + c_2 + \dots + c_{2ns+1} \leq g - s. \quad (3.3)$$

Therefore

$$\begin{aligned} c_1 &\leq \frac{g-s}{2ns+1}, \\ c_2 &\leq \frac{g-s-c_1}{2ns}. \end{aligned}$$

It follows that

$$c_1 + c_2 \leq \frac{2(g-s)}{2ns+1}, \quad (3.4)$$

$$c_2 \leq \frac{g-s-1}{2ns}. \quad (3.5)$$

Moreover, the inequality (3.3) implies that $s \leq s_*$ where

$$s_* = \frac{g-1}{2n+1}.$$

Let $u \in V(C_1)$ and $v \in V(C_2)$. Then

$$|N_G(u) \cup N_G(v)| \leq s + (c_1 - 1) + (c_2 - 1). \quad (3.6)$$

By (3.4), we find that $|N_G(u) \cup N_G(v)| \leq h(s)$ where

$$h(s) = \frac{2(g-s)}{2ns+1} + s - 2.$$

Note that the second derivative $h''(s) > 0$. If $s \geq 2$, then we have

$$|N_G(u) \cup N_G(v)| \leq \max\{h(2), h(s_*)\} = \max\left\{\frac{2g-4}{4n+1}, \frac{g-1}{2n+1}\right\},$$

contradicting to the condition (3.1). Otherwise $s = 1$. In this case, if $c_2 \leq 2n - 1$, then $c_1 \leq 2n - 1$ by (3.2). By (3.6), we have

$$|N_G(u) \cup N_G(v)| \leq c_1 + c_2 - 1 \leq 4n - 3,$$

contradicting to (3.1). So $c_2 \geq 2n$. It is easy to verify that any complete graph K_m with $m \geq 2n$ has an H_n -factor. Since C_2 contains no H_n -factors, we deduce that C_2 is not complete. So there exist vertices u' and v' which are not adjacent in C_2 . By (3.5), we have

$$|N_G(u') \cup N_G(v')| \leq s + c_2 - 2 \leq \frac{g-2}{2n} - 1,$$

contradicting to (3.1). This completes the proof. ■

Observe that when $g \geq 8n^2 + 2n + 2$, one has

$$\max\left\{\frac{g-2}{2n} - 1, \frac{2g-4}{4n+1}, \frac{g-1}{2n+1}, 4n - 3\right\} = \frac{g-2}{2n} - 1.$$

This results in the following corollary immediately.

Corollary 3.2 *Let G be a graph without odd components. If $g \geq 8n^2 + 2n + 2$, and for any non-adjacent vertices u and v ,*

$$|N_G(u) \cup N_G(v)| > \frac{g-2}{2n} - 1,$$

then G contains an H_n -factor.

Now we give another sufficient condition for the existence of an H_n -factor of a graph. A graph G is said to be k -connected if it is connected when fewer than k vertices are removed from G . Let u and v be non-adjacent vertices of G . Denote by $G + uv$ the graph obtained by adding the edge (u, v) to G .

Theorem 3.3 *Let G be a k -connected simple graph with g even. Let u and v be non-adjacent vertices of G such that*

$$|N_G(u) \cup N_G(v)| \geq g - 2nk. \quad (3.7)$$

Then G has an H_n -factor if and only if the graph $G + uv$ has an H_n -factor. Moreover, the lower bound $g - 2nk$ in (3.7) is best possible.

Proof. The necessity is obvious. We shall prove the sufficiency. Suppose to the contrary that G has no H_n -factors. Since G is a connected graph with g even, we deduce that G has no odd components. By Theorem 2.5, there exists a nonempty subset $S \subset V(G)$ such that

$$o(G - S) \geq 2ns + 1, \quad (3.8)$$

where $s = |S|$. Since G is k -connected, it is easy to see that $s \geq k$. Let C_1, C_2, \dots, C_q be the components of $G - S$, and $c_i = |V(C_i)|$. Then $q \geq 2n + 1$ by (3.8). By Theorem 2.5, we can suppose that c_i is odd and C_i has no H_n -factors for any $1 \leq i \leq 2ns + 1$.

Let u and v be non-adjacent vertices. We have three cases.

- (i) u and v belong to the same component of $G - S$. In this case, we can suppose that $u, v \in C_i$ for some $1 \leq i \leq q$. By (3.8), we have

$$|N_G(u) \cup N_G(v)| \leq s + (c_i - 2) = g - \sum_{j \neq i} c_j - 2 \leq g - 2ns - 2 \leq g - 2nk - 2.$$

- (ii) u and v belong to distinct components of $G - S$. In this case, we can suppose that $u \in C_i$ and $v \in C_j$ where $1 \leq i < j \leq q$. By (3.8), we have

$$|N_G(u) \cup N_G(v)| \leq s + c_i + c_j - 2 = g - \sum_{h \notin \{i, j\}} c_h - 2 \leq g - 2nk - 1.$$

- (iii) One of u and v belongs to the set S . Let F be an H_n -factor of $G + uv$. Let m be the total degree of vertices of S in F , i.e.,

$$m = \sum_{v \in S} d_F(v).$$

Since the component C_i contains no H_n -factors, and the edge (u, v) is not contained in $G - S$, there exists an edge of F connecting one vertex in C_i and another vertex in S . Therefore, each C_i corresponds an edge with one end in S . It follows that $m \geq 2ns + 1$. On the other hand, each vertex in S has degree at most $2n$ in F . So $m \leq 2ns$, a contradiction. This proves the sufficiency.

Now we shall prove that the bound $g - 2nk$ is best possible. Let k be an odd number, and

$$G = K_k + (2nk + 1)K_1.$$

Then $g = 2nk + k + 1$ is even. It is easy to check that G is k -connected and

$$|N_G(u) \cup N_G(v)| = g - 2nk - 1$$

for any non-adjacent vertices $u, v \in V(G)$. It suffices to show that $G + uv$ contains an H_n -factor while G does not.

Denote by u_1, u_2, \dots, u_k the vertices of the subgraph K_k , and by $v_1, v_2, \dots, v_{2nk+1}$ the remaining vertices in G . Suppose to the contrary that G has an H_n -factor F . Then the degree of each vertex v_i is at least 1 in F . Note that the neighbor of v_i must be some u_j . So there exists some u_j of degree at least $2n + 1$ in F . It follows that the degree of u_j does not belong to the set H_n , a contradiction. Hence G has no H_n -factors.

Now we shall show that $G + uv$ contains an H_n -factor for any non-adjacent vertices u and v . In fact, since each vertex u_i is saturated, we can suppose without loss of generality that the non-adjacent vertex pair (u, v) is taken to be (v_{2nk}, v_{2nk+1}) . A factor F of $G + uv$ consists of the edge uv and the following k components:

$$\begin{aligned} & G[u_1, v_1, v_2, \dots, v_{2n}], \\ & G[u_2, v_{2n+1}, v_{2n+2}, \dots, v_{4n}], \\ & \vdots \\ & G[u_k, v_{2n(k-1)+1}, v_{2n(k-1)+2}, \dots, v_{2nk-1}]. \end{aligned}$$

It is straightforward to verify that F is an H_n -factor. This completes the proof. ■

Let H be an allowed prescription. In [9, Lemma (3.5)], Lovász gave the following result describing the H -decomposition of a graph when a vertex in A_H is removed.

Lemma 3.4 (Lovász) *Let (A, B, C, D) be the H -decomposition of G . Let v be a vertex in A , and (A', B', C', D') the H -decomposition of the subgraph $G - v$. Then*

$$A' = A - v, \quad B' = B, \quad C' = C, \quad D' = D.$$

Theorem 3.5 *Let G be a graph without odd components. Then G contains an H_n -factor if the subgraph $G - v$ contains an H_n -factor for all vertices v .*

Proof. Suppose that G has no H_n -factors. Let (A, B, C, D) be the H_n^* -decomposition of G . From the proof of Theorem 2.5, we see that $B = \emptyset$ and

$$2n|A| < c(D). \tag{3.9}$$

Moreover, every component of $G[D]$ is odd.

Assume that $A \neq \emptyset$. Let $v \in A$, and (A', B', C', D') be the H_n^* -decomposition of $G - v$. By Lemma 2.3, we have

$$c(D') \leq 2n|A'|. \quad (3.10)$$

By (3.9), (3.10) and Lemma 3.4, we deduce that

$$2n|A| \leq c(D) - 1 = c(D') - 1 \leq 2n|A'| - 1 = 2n(|A| - 1) - 1,$$

a contradiction. So $A = \emptyset$. By Lemma 2.2, any component of $G[D]$ is an odd component of G . But G has no odd components, a contradiction. This completes the proof. \blacksquare

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